

COLLEGE PARK ETHICS COMMISSION

**FINANCIAL DISCLOSURE STATEMENT - FORM #2 CANDIDATES
IN THE MAY 6, 2023 SPECIAL ELECTION**

This form must be filed by all persons who wish to qualify as candidates for City elected office. Form #2 must be filed with the City Clerk at the same time as the Authorization of Candidacy is filed, and in any event no later than 4:00 p.m. on Wednesday, April 5, 2023.

I Would Like To Be Notified If Someone Looks At My Form

1. Fill in the preliminary information requested in the box below. Reporting Period: January 1 through April 5, 2023 *and* January 1 through December 31, 2022.
2. Upon completion of your financial disclosure statement, sign and date the lower portion of the page and make the required oath or affirmation. Additionally, please ensure that your name and date appear on each page you submit.

PLEASE PRINT OR TYPE

FIRST NAME	INITIAL	LAST NAME
ADDRESS (For receipt of correspondence)		
ADDRESS, LINE 2		
OFFICE SOUGHT		
EMAIL ADDRESS		

This financial disclosure statement describes all interests and related transactions and matters required to be disclosed by Md. Code, Gen. Prov. Article, Title 5, Subtitle 6 of the Maryland Public Ethics Law and the City's Ethics Code with respect to the period indicated and pertaining to the person filing the statement. The statement consists of this cover sheet, instructions, and Schedules A through J.

I hereby make oath or affirm under the penalties of perjury that the contents of this financial disclosure statement, including the Schedules attached hereto, are complete, true and correct to the best of my knowledge, information and belief.

Signature of Person Filing: _____

Date: _____

FOR USE BY CITY OFFICES ONLY

Received by the City Clerk's Office: Date: _____ By: _____

Sent to the Ethics Commission: Date: _____ By: _____

Received by the Ethics Commission: Date: _____ By: _____

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I hereby make oath or affirm under the penalties of perjury that the contents of this financial disclosure statement, including the Schedules attached hereto, are complete, true and correct to the best of my knowledge, information and belief.

Signature of Person Filing: _____
(Must be original signature)

Date: _____

FOR USE BY CITY OFFICES ONLY

Received by the City Clerk's Office: Date: _____ By: _____

Sent to the Ethics Commission: Date: _____ By: _____

Received by the Ethics Commission: Date: _____ By: _____

NOTES:

Candidate's Name: _____ Date: _____

Instructions:

Complete each schedule, A through J, with a Yes or No answer. If you answer "Yes" to the opening question on any Schedule, you must complete that Schedule. Use Schedule I to explain any circumstances which may not be immediately apparent. Return all pages of the statement, even if you answered "No."

Interests: In addition to interests held by you, you must also report interests that are attributable to you. Attributable interests include interests that are held by your spouse, domestic partner, or dependent child, if you exercised control over the interest. Attributable interests also include interests held by a trust or estate of which you are a beneficiary, hold a reversionary interest, or, for revocable trusts, you are a settlor. However, you need not report interests in trusts that are part of a pension or profit-sharing plan with more than 25 participants.

Candidate's Name: _____

Date: _____

Form 2 –Candidates

Schedule A – Real Property Interests

Do you have any interest (**as an owner or a tenant**, including interests in time shares) in real property in Maryland or in any other state or country? Note that you must mark yes if you hold a leasehold interest in real property, *i.e.*, if you are a tenant. An example of the few circumstances not requiring disclosure would be if you live rent-free in a residence owned by someone else or you make no contributions to the household. Note that any interest in real property, wherever located, including each interest held in the name of a partnership, limited liability partnership, or limited liability company in which you hold or held an interest is attributable to you.

- Yes
- No (Go to Schedule B; Disclose additional information or explain additional circumstances in schedule I)

If Yes; (Answer each question below. A separate Schedule A will be required for each property you need to disclose.)

1.What is the address or legal description of the property? (Give street address, if you know it. If the property is your primary residence, you may enter the lot and block legal description instead, if you wish)

Street Address: _____

City/State/Zip: _____

2.How was the property acquired? (Example: lease, purchase, gift, inheritance, etc.)

3.What kind of property is it?

Improved (indicate whether property is residential or commercial property): _____

Unimproved (vacant lot): _____

4.Is the interest held directly by you or is it attributable to you?

Direct: _____ Attributable: _____

5. Are you the owner or tenant?

Owner: _____ Tenant: _____

6. Do you hold the interest solely or is it jointly held with another?

Solely: _____ Jointly: _____ Tenants by the Entirety: _____

If held jointly, or by tenants by the entirety, the name(s) of the other joint owner(s):

Candidate's Name: _____

Date: _____

7. Are there any legal conditions or encumbrances on the property? **[Skip to question 7 if you are a tenant.]**

(Example: mortgages, liens, contracts, options, etc.)

Yes

No

If yes, what is/are the name(s) of the lender(s), creditor(s), lien holder(s), etc.?

8. What date was the property acquired (date deed was transferred to you or commencement of tenancy)?

9. From whom was the property acquired?

(Name of individual or entity from whom you leased, purchased, or inherited the property or who gifted the property to you.)

10. What consideration was given when the property was acquired? (For property you own, dollar amount paid or, if you received the property as a gift or inherited it, the fair market value at the time you acquired your interest in the property. For property you lease, the monthly rent.)

11. Have you transferred any interest in this property during the reporting period?

Yes

No

If Yes:

11. A. What percentage of interest did you transfer: _____%

11. B. What consideration did you receive for the interest? _____

11. C. To whom did you transfer the interest: _____

If you have any additional interests in real property in Maryland, any other state or any other country, please use additional Schedule A sheet(s), if necessary, and respond to each above question for each such entry.

Candidate's Name: _____

Date: _____

Schedule B – Interests in Corporations and Partnerships

Did you have any interest in any corporations, partnerships, limited liability partnerships (LLP) or limited liability companies (LLC) during the reporting period **whether or not** the entity did business with the City? [You are not required to disclose interests in nationally traded mutual funds or IRS qualified trusts (such as a 401(a), 401(k), or 403(b) plans) or 529 college savings plans.]

- Yes
 No (Go to Schedule C)

If Yes; For every entity in which you had an interest at the end of the reporting period, a separate Schedule will be required. Alternatively, the disclosures may be made in the form of an end-of-year brokerage statement, spreadsheet chart, or other descriptive format, provided that such chart or statement contains the requested information and is accepted by the City's Ethics Commission.

1. What is the name of the entity? Include the complete name of the entity, do not identify solely by trading symbol: _____

2. Does the stock of the corporation trade on a stock exchange?

- Yes
 No

If "no," the legal address of the entity's principal office.

3. Is the interest held directly by you or is it attributable to you?

Directly: _____ Attributable: _____

4. Do you hold the interest in your name alone, or is it held jointly?

In your name alone: _____ Jointly: _____

If jointly, the percentage of your interest: _____%

5. What is the nature of your interest and its dollar value or the number of shares? (Example: stock, notes, bonds, puts, calls, straddles, purchase options, etc.) If in a non-publicly traded entity or LLP or LLC, report the percentage of ownership. The number of shares may be disclosed in ranges (0-100, 101-250, 251-500, over 500). If in a non-publicly traded entity or LLP or LLC, you may report the range of your estimated ownership percentage (less than 1%, 1-5%, 6-10%, or over 10%).

Type: _____

Dollar Value of Shares: _____ or Number of Shares: _____

Percentage of ownership: _____%

6. Are there any legal conditions or encumbrances that apply to your interest in the entity? (Example: mortgages, liens, contracts, options, etc.)

- Yes; **If yes**, name of entity holding the encumbrance: _____
 No

Candidate's Name: _____ Date: _____

7. Did you acquire an interest in the entity during the reporting period?

- Yes
- No

In the following questions, the number of shares may be disclosed in ranges (0-100, 101-250, 251-500, over 500). For a non-publicly traded entity or LLP or LLC, you may report the range of your estimated ownership percentage (less than 1%, 1-5%, 6-10%, or over 10%).

If Yes:

7A. In what month was the interest acquired? _____

7B. How was the interest in the entity acquired? (Example: purchase, gift, will, etc.)

7C. From whom did you acquire the interest in the entity? (If you purchased it from a brokerage, the name of the brokerage): _____

7D. What consideration was given when the interest was acquired? (Dollar amount paid, or if you received the property as a gift or inherited it, the fair market value at the time you acquired your interest in the property): _____

8. Have you transferred any interest in this entity during the reporting period?

- Yes
- No

If Yes:

8A. What portion of the interest was transferred? _____

8B. What consideration did you receive for the interest in the entity? (Dollar amount paid, or if you received the property as a gift or inherited it, the fair market value and terms at the time you transferred your interest in the property): _____

8C. To whom did you transfer your interest in the entity? _____

If you have additional interests in corporations or partnerships, please use additional Schedule B sheet(s) if necessary, and answer each of the above questions for each additional entry.

Schedule C – Interests in Non-Corporate Business Entities Doing Business with the City

Do you have an interest in any non-corporate business entity (a sole proprietorship), other than a partnership, that did business with the City during the reporting period? Note that you must mark yes if you held any ownership interest in such a business entity, regardless of the percentage of the business you owned or the dollar value of your interest.

- Yes
- No (Go to Schedule D)

If Yes: (Answer each question below. A separate Schedule C will be required for each business entity to be disclosed. If the business entity is previously listed on Schedule B, list the name of the entity only and, after the name, state “See Schedule B.”)

1.Name and Address of the Principal office of the business entity?

Name: _____

Address: _____

City/State/Zip: _____

2.Is the interest held directly by you or is it attributable to you?

Direct: _____Attributable: _____

3.Do you hold the interest solely or is it jointly held with another?

Solely: _____Jointly: _____

3. A. If jointly, the percentage of your joint interest: _____%

3. B. Dollar value (or range) of your interest in the entity: \$ _____; or
percentage (or range) of your interest in the entity: _____%

4.Are there any legal conditions or encumbrances that apply to your interest in the entity? (Example: mortgages, liens, contracts, options, etc.)

- Yes; If yes give name of creditor: _____
- No

5.Was any interest acquired during the reporting year?

- Yes
- No

If Yes:

5A. What month was the interest acquired? _____

5B. How was the interest in the entity acquired? (Example: purchase, gift, will, etc.)

Candidate’s Name: _____ Date: _____

5C. From whom did you acquire the interest? (If it was purchased by you or on your behalf in an open market transaction as in the case of publicly traded securities, state “unknown, market transaction”):

5D. What consideration was given when the interest was acquired? (Dollar amount or range paid, or if you received the property as a gift or inherited it, the fair market value or range at the time you acquired your interest in the property)

6. Did you transfer any of your interest during the reporting period?

Yes

No

If yes:

6A. What percentage of interest, if less than all, was transferred? _____%

6B. What consideration (or range) did you receive for the interest in the entity? (Dollar amount paid or if you received the property as a gift or inherited it, the fair market value and terms at the time you transferred your interest in the property):

6C. To whom did you transfer your interest in the entity? _____

If you have additional interests in sole proprietorship(s) that did business with the City during the reporting year, please use additional Schedule C sheet(s) if necessary, and answer each of the above questions for each additional entry.

Schedule D – Gifts

During the reporting period, did you receive any gift(s), directly or indirectly, with a value greater than \$20 or a series of gifts from the same donor with a cumulative value of \$100 or more from a person or entity who: 1) did business with the City; 2) or from an association, or any entity acting on behalf of an association that is engaged only in representing counties or municipal corporations.? Gifts received from a member of the official’s immediate family, another child, or a parent of the official, do not need to be disclosed.

Yes

No (Go to Schedule E)

If Yes; (Answer each question below. A separate Schedule D will be required for each gift.)

1. Who gave you the gift?

2. What was the nature of the gift? (Example: book, restaurant meal, theater tickets, book, etc.)

3. What was the value of the gift?

4. If the gift was given to someone else at your direction, list the identity of the recipient of the gift.

Please use additional Schedule D sheet(s), if necessary, for any additional entries.

Candidate’s Name: _____

Date: _____

Schedule E – Officers, Directorships, Salaried Employment and Similar Interests

During the reporting period, did you or any member of your immediate family (spouse, domestic partner, or dependent children) have any salaried employment or hold any office or directorship with an entity that did business with the City?

- Yes
- No (Go to Schedule F)

If Yes: (Answer each question below. A separate Schedule E will be required for each disclosure.)

1. What is the name and address of the business entity?

Name: _____

Address: _____

City/State/Zip: _____

2. Who was the individual who held the position or interest listed above? (Example: yourself, spouse, domestic partner, dependent child)

Self: _____ Spouse: _____ Domestic Partner: _____ Dependent child: _____

2A. Name of spouse, domestic partner or dependent child: _____

3. What is the title of the office you, your spouse, domestic partner or dependent child held? (Example: limited partner, director, treasurer, chair of the board of trustees, etc.)

4. What year did the position begin? _____

5. With what City department did the business entity do business? _____

6. What was the nature of the business? (Example: regulated by the City, registered under the lobbying law, or involved with sales and contracts with the City)

If necessary, please use additional Schedule E sheet(s) for any additional entries.

Candidate's Name: _____

Date: _____

Schedule F – Debts You Owe

During the reporting period, did you owe a debt (excluding a retail credit account) to a financial entity that did business with the City? **[NOTE: If, on Schedule A, B or C you listed a financial entity that did business with the City as the holder of your mortgage or other encumbrance, you must complete Schedule F with regard to that indebtedness.]**

- Yes
- No (Go to Schedule G)

If Yes; (Answer each question below. A separate Schedule F will be required for each debt to be disclosed.)

1. To whom did you owe the debt? (Do not include consumer credit debts)

2. When was the debt incurred?

3. What are the interest rate and terms of payment of the debt?

Interest Rate: _____

Terms (monthly, bimonthly, annually, etc.): _____

4. What was the amount of the debt as of the end of the reporting period? If debt existed during the reporting period but was paid in full at the end of the period, put \$0. \$ _____

5. Did the principal of the debt increase _____ or decrease _____ during the reporting period, and by how much? \$ _____

6. Was any security given for the debt?

- Yes
- No

If Yes; Please state what type of security was given (home, car, boat, etc.):

7. If this is a transaction in which you were involved, but which resulted in a debt being owed by your spouse or dependent child, identify your spouse or child and describe the transaction.

If necessary, please use additional Schedule F sheet(s) for any additional entries.

Candidate's Name: _____

Date: _____

Schedule G – Family Members Employed by the City

During the reporting period, were any members of your immediate family (spouse, domestic partner or dependent children) employed by the City in any capacity?

Yes

No (Go to Schedule H)

If Yes: (Answer each question below. A separate Schedule G will be required for each member of the immediate family who is employed by the City.)

1. What is the relation and name of the immediate family member employed by the City?

2. What is the name of the department that employed the member of your immediate family?

3. What was the title of your immediate family member’s position in the City department during the reporting period? _____

If necessary, please use additional Schedule G sheet(s) for any additional entries.

Candidate’s Name: _____

Date: _____

Schedule H – You/Spouse/Domestic Partner’s Employment/Business Ownership/Spouse’s Lobbying Clients

During the reporting period, did you or any member of your immediate family, receive any earned income from an entity other than the City of College Park? **If you, your spouse, or domestic partner was employed or received earned income, you must answer yes to this question. Note that you must answer yes if you or your family members received a salary or earned income from a business, regardless of whether the business does business with the City.** Your dependent child’s employment or business ownership does not need to be disclosed unless the place of employment or the business entity is subject to regulation by the City or has contracts in excess of \$10,000 with the City. Please note that, except as it relates to dependent children as discussed in the preceding sentence, **this question is not limited to entities that do business with the City.**

- Yes
- No

During the reporting period, was your spouse lobbyist regulated by the City?

- Yes
- No

If you answered No to the preceding two questions, go to Schedule I.

If you answered Yes to one or both of the preceding two questions, answer each question below. (A separate Schedule H will be required for each member of the immediate family who owned a business entity or held employment and for each lobbying client of your spouse.)

1.If, during the reporting period, you or a member of your immediate family had employment from which you or they earned income, list the relation, name, and address of the employment.

Name: _____
Relationship: _____
Name of Employer: _____
Address: _____

2.City/State/Zip: _____ If, during the reporting period, you or a member of your immediate family wholly or partially owned any business entity from which income was earned, list the relation, name and address of the business entity.

Name: _____
Relationship: _____
Name of Employer: _____
Address: _____
City/State/Zip: _____

Candidate’s Name: _____ Date: _____

3.If, during the reporting period, your spouse was a lobbyist regulated by the City, list the name and address of the entity that engaged your spouse for lobbying purposes.

Name of Spouse: _____

Name of Lobbying Client: _____

Address: _____

City/State/Zip: _____

If necessary, please use additional Schedule H sheet(s) for any additional entries.

Schedule I – Relationship with University of Maryland Medical Systems, State or local government, or quasi-governmental entity. Quasi-Governmental Entity: An Entity that is created by State Statute, that performs a public function, and that is supported in whole or in part by the State but is managed privately.

During the reporting period, did you or an immediate family member have a financial or contractual relationship with:

A governmental entity of the State or a local government in the State; or
A quasi-governmental entity of the State or local government in the State.

Yes

No (Go to Schedule J)

Do not list employment as a municipal elected official.

For each financial or contractual relationship, provide:

1. The name and address of the entity:

2. A description of the relationship:

3. The subject matter of the relationship:

4. The consideration received from the relationship:

We will not provide public information/access to information related to consideration received from the relationship.

Candidate's Name: _____

Date: _____

Schedule J – Other

Is there any additional information or interest you would like to disclose?

DUTY TO REPORT

Failure to file or to report information required by the Code of Ethics can subject you to civil and administrative penalties, including a late filing fee of up to \$250, and a civil fine of up to \$5,000 per day. Willful and false filing is subject to criminal penalty for perjury pursuant to Criminal Law Article §9-101, Annotated Code of Maryland. You have a right and duty to promptly correct any errors or omissions in your statement.

STANDARDS OF CONDUCT

The City of College Park Code of Ethics includes standards of conduct applicable to elected officials and City employees. The standards address disqualification from participation, prohibited secondary employment, prohibited ownership interests, misuse of position, prohibited solicitation and acceptance of gifts, misuse of confidential information, post-employment limitations, prohibited dealings with the City, and procurement specifications assistance restrictions. The Law provides for exceptions and exemptions under certain circumstances.

Filers wanting more detailed information about these requirements should review the Code or contact the City Ethics Commission.

PRIVACY NOTICE

The College Park Code of Ethics require the collection of this information, which will be used primarily for public disclosure and to determine compliance with the Code of Ethics. The information may be disclosed to any requesting person, including officials of State, local or federal government, who provide their name and address, and this record will be provided to the filer upon request. The subject has the right to review, correct, and amend the record.

Candidate's Name: _____

Date: _____